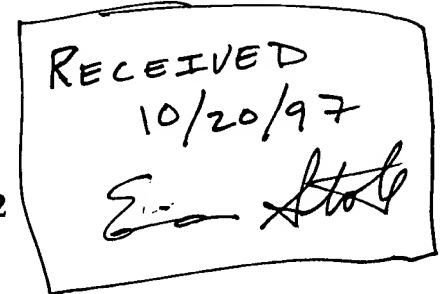


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FACSIMILE COVER SHEET

Examiner: Einar Stole

Group: 1652

Date: June 18, 1998

Client Code: 1405

Facsimile No.: (703) 308-0294

From: Edgar W. Harlan, Ph.D. *ewh*

Subject: Applicants: Aldis Darzins and Gregory T. Mrachko
Application. No.: 08/851,089
Filed: May 5, 1997
For: A *Sphingomonas* Biodesulfurization Catalyst
Docket No.: BRC97-06A

#16
Supp
6-28-98

Number of pages including this cover sheet: 7Please confirm receipt of facsimile: Yes X No **Comments:**

Examiner Stole:

Per our telephone conversation this date, enclosed please find the following documents with regard to the above-referenced application:

1. Information Disclosure Statement transmittal;
2. Form PTO-1449; and
3. copy of postcard receipt stamped 10/20/97.

If you have any questions, please feel free to give me a call. Thank you.

Privileged and Confidential - All information transmitted hereby is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any distribution or copying of this communication is strictly prohibited. Anyone who received this communication in error is asked to notify us immediately by telephone and to destroy the original message or return it to us at the above address via first class mail.

EBC976.ID
EWH 24
CSE/EWH/kdq
10/15/97

PATENT APPLICATION
DOCKET NO.: EBC97-06A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Aldis Darzins and Gregory T. Mrachko
Application No.: 08/851,089
Filed: May 5, 1997
Title: A SPHINGOMONAS BIODESULFURIZATION
CATALYST

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>10-16-97</u>	<u>Kathleen D. Quinton</u>
Date	Signature
<u>Kathleen D. Quinton</u>	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, and
- ☐ a Petition under 37 CFR 1.97(d)(2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____
be placed in the application filewrapper.
(Filed after payment of issue fee)

-2-

Certification Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ Enclosed is a check in the amount of \$ _____.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$ _____.
- ☐ A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

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Lexington, Massachusetts 02173

Dated: 10/16/97